

BULLETIN

Empire State Agricultural Compensation Trust

October 2007

NAME, OWNERSHIP, OR BUSINESS STRUCTURE CHANGES

New York Worker's Compensation Board Compliance Requirements

Changes made in Name, Ownership or Business Structure Must be made within 30 days to avoid Non-Compliance Penalties

During the past year, many of our members have made changes to their business operations and filed these with the Department of Labor (DOL); but failed to notify Agri-Services Agency, LLC. This has resulted in the New York Workers' Compensation Board (NYWCB) assessing non-compliance **penalties of \$1,000 for every ten-day period of non-compliance.**

In order to avoid these penalties, we ask that you:

1. Notify Agri-Services Agency, LLC immediately of any changes that you make to your business and file with the DOL. These changes include:
 - a) Name Change.
 - b) Ownership Change.
 - c) Change in your Federal Employer Identification Number (FEIN) or Tax Identification Number (TIN).
 - d) Change in the structure of your business entity: Sole Proprietor; Partnership; Limited Liability Corporation or Corporation.
2. We will then forward the Trust Application and necessary forms for you to complete and return to us.
3. Once we receive the completed application, we will process the paperwork and forward to the NYWCB to meet their mandatory filing period of 30 days.

Please contact our Customer Service Center with any questions you have regarding this procedure. Our toll free number is: 1-800-654-8840.

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